

# Financial Adviser Profile

## Overview

Ark Financial Planning is a boutique wealth management and financial advisory firm based in Eight Mile Plains, Queensland. From here, we help people from all walks of life to plan for, and ultimately enjoy a secure financial future and comfortable quality of life in retirement.

We take the time to get to know our clients, and to explain what can often be complex financial and investment strategies in easy-to-understand terms. Working collaboratively together, we'll help you to make your financial and lifestyle goals for the future a reality with a comprehensive financial strategy that's purpose-built for you. It's what we do well.

We believe that insurance is the foundation of a sound financial strategy. Without it, you risk everything you've worked so hard to achieve and could place the security and comfort of those you care for in peril.

Kathleen Lewis is a Sub-Authorised Representative of ARK FP Pty Ltd (trading as Ark Financial Planning), Corporate Authorised Representative No. 425380. Authorised Representative No. 381711.

## Qualifications

Kathleen Lewis holds a Graduate Diploma of Financial Planning and meets the competency requirements under ASIC's Regulatory Guide RG 146.

## Professional Memberships

Kathleen Lewis is a member of the Financial Planning Association and abides by their code of professional conduct and ethics.

## Authorisations

Kathleen Lewis is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Self Managed Superannuation Funds; and
- Standard Margin Lending Facility.



### Kathleen Lewis

Ark Financial Planning

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## Ark Financial Planning Advice Fees and Charges

Kathleen Lewis will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you.

Kathleen Lewis's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Kathleen Lewis provides the option of ongoing reporting and advisory services. This fee is either a fixed fee (ranging from \$1,650 pa to \$12,000pa) or a percentage (ranging from 0.80% to 1.10% p.a.) of the value of your holding incl. GST. The amount will vary depending on the complexity and time taken. You will be notified of the cost involved prior to the commencement of any ongoing services.

Ark Financial Planning pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Kathleen Lewis is a Director of Ark Financial Planning and will receive a salary/benefit from this company.

## Other Benefits Kathleen Lewis May Receive

From time to time Kathleen may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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